

*Attention Carolyn Brown*

FORM PTO-2053-B (REV. 11/2000)

Approved for use through xx/xx/xxxx. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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In re Application of:

Norbert Marocco et al.

Application No.:

09/814,261

Filing Date:

March 21, 2001

Title:

Single Plate Cut Down Apparatus

**Carolyn Brown**  
**Supervisory Legal Instrument Exam**  
**Group 3700**

Direct to:

Box Reconstruction  
United States Patent and Trademark Office  
Washington, DC 20231

### NOTICE UNDER 37 CFR 1.251 - Pending Application

Statement (check the appropriate box):

☐ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☒ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

July 3, 2003  
Date

*Charles N.J. Ruggiero*  
Signature

Charles N.J. Ruggiero  
Typed or printed name

**A copy of this notice should be returned with the reply.**

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

11331

CHARLES N. J. RUGGIERO  
OHLANDT, GREELEY, RUGGIERO & PERLE  
1 LANDMARK SQ., 10 TH FL.  
STAMFORD, CT 06901

51-1020/211

DATE

March 21, 2001

PAY  
TO THE  
ORDER OF

Commissioner of Public Safety and Technology \$ 710-  
Seven Hundred Ten and 00/100

DOLLARS

Small Print

**CORNERSTONE BANK**  
STAMFORD, CONNECTICUT 06901

FOR

Charles N. J. Ruggiero

⑈011331⑈ ⑆021110209⑆ 10187828⑈12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM



Applicant(s):                      Applicant(s):                      Norbert Marocco et al.  
Serial No.:                          09/814,261  
For:                                      SINGLE PLATE CUT DOWN APPARATUS  
Filed:                                  March 21, 2001  
Examiner:                              Not Yet Assigned  
Art Unit:                               3724  
Confirmation No.:                   5581

Attorney Docket:                   625.0006USQ

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Dear Sir:

We are enclosing:

1. Supplemental Information Disclosure Statement;
2. PTO Form 1449 with copy of documents from case of: Springs Window Fashions LP and Shade-O-Matic Ltd. VS Novo Industries, L.P.;
3. Transmittal letter in duplicate; and
4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Charles N. J. Ruggiero, Esq.  
Reg. No. 28,468  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, Connecticut 06901-2682  
(203) 327-4500

August 28, 2002  
Date

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON August 28, 2002.

Kenroy A. Browne  
NAME

  
SIGNATURE

08/28/08  
DATE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Norbert Marocco  
Serial No.: 09/340,574  
For: SINGLE PLATE CUT DOWN APPARATUS  
Filed: June 28, 1999  
Examiner: Hall, M.  
Art Unit: 3724

Attorney Docket No.: 625.0006USQ

Assistant Commissioner for Patents  
Washington, D.C. 20231

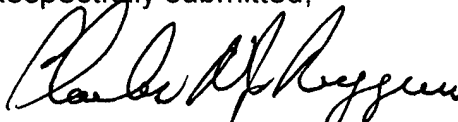
Sir:

We are enclosing the following:

1. Request for filing a Continuation-in-Part;
2. Preliminary Amendment;
3. Request for extension of time;
4. Information Disclosure Statement;
5. A check for \$710 filing fee; copy of \$110 extension fee for parent case;
6. Transmittal letter in duplicate; and
7. Postcard.

The Commissioner is hereby authorized to charge any fees or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this form is enclosed.

Respectfully submitted,



Date:

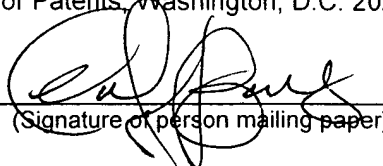
Charles N.J. Ruggiero, Esq.  
Reg. No. 28,468  
Attorney for Applicant(s)  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, CT 06901-2682  
(203) 327-4500

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL754409279US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231, on \_

MARCH 21, 2001

David L. Barnes  
(Typed name of person mailing paper)

  
(Signature of person mailing paper)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. **625.0006USQ**  
 Anticipated Classification of this Application  
 Class:                      Subclass:  
 Prior Application: **09/340,574** filed **June 28, 1999**  
 Examiner: **Hall, M.** Unit: **3724**

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

This is a request for filing a **XX** continuation-in-part \_\_\_\_\_ continuation \_\_\_\_\_ divisional application under 37 CFR 1.53(b), of pending prior application, Serial No. **09/340,574** filed on **June 28, 1999** of **Norbert Morocco** for **SINGLE PLATE CUT DOWN APPARATUS.**

The filing fee is calculated below:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT BELOW				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$710.00
TOTAL CLAIMS	11 - 20 =	0	x \$18.00	\$0
INDEPENDENT CLAIMS	1 - 3 =	0	x \$78.00	\$0
MULTIPLE DEPENDENT CLAIM FEE			x \$260.00 =	
TOTAL FILING FEE			\$710.00	
1/2 FILING FEE FOR SMALL ENTITY			\$0	

1. **XX** A check in the amount of **\$820.00** (\$710 for filing fee and \$110 for one month extension fee) is enclosed.
2. **XX** A petition for extension of time is enclosed.
3. **XX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.
4. \_\_\_\_\_ Cancel in this application original claim(s) \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
5. **XX** A copy of the Declaration/Power of Attorney originally filed is enclosed.
6. \_\_\_\_\_ Amend the specification by inserting before the first line the sentence: ---  
 This is a \_\_\_\_\_ continuation-in-part \_\_\_\_\_ continuation, \_\_\_\_\_ division, of application serial no. \_\_\_\_\_ filed \_\_\_\_\_.

7. XX Priority application Serial No. 09/340,574 is assigned of record to: **Shad-O-Matic Limited**. A copy of the assignment as filed in the U.S. Patent and Trademark Office is enclosed.

8. XX The power of attorney in the prior application is to: **George A. Rolston** with an associate power of attorney to **Charles N. J. Ruggiero**

a. The power appears in the original papers in the prior application.

b. Address all future communications to : **CHARLES N.J. RUGGIERO, ESQ.**

**OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.**

**ONE LANDMARK SQUARE, 10<sup>th</sup> Floor**

**STAMFORD, CONNECTICUT 06901-2682**

**TELEPHONE: (203) 327-4500**

**TELEFAX: (203) 327-6401**

9. XX A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

10. XX Priority of U.S. application Serial No. **09/340,574**, filed on **June 28, 1999**, is claimed under 35 U.S.C. §120.

11.      The undersigned attorney has verified that the application is entitled to a Small Entity Status.

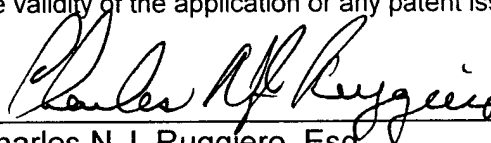
12.      I hereby verify that the attached papers are a true copy of prior application serial no.              as filed on             .

13. XX An Information Disclosure Statement (without copy of reference) is enclosed.

14. XX PTO Form 1449 is enclosed.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

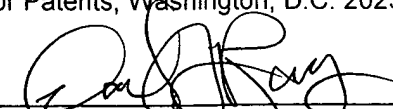
MARCH 21, 2001  
Date

  
Charles N.J. Ruggiero, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Reg. No. 28,468  
Telephone: (203) 327-4500  
Telefax: (203) 327-6401

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL754409279US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231, on MARCH 21, 2001.

David L. Barnes  
(Typed name of person mailing paper)

  
(Signature of person mailing paper)